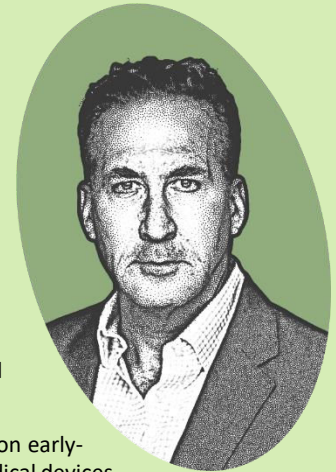




- :: TRADING, PORTF. MANAGEMENT
- :: INVESTING
- :: COMPLEX SECURITIES, DERIVATIVES
- :: EQUITIES
- :: FIXED INCOME
- :: BONDS & CREDIT

Kent Kurtz

Kent A. Kurtz, a seasoned executive, brings a wealth of expertise in financial markets, risk management, financial engineering, and portfolio management. With a successful career at investment banks HSBC, Nomura Securities, and Deutsche Bank, Kent has demonstrated a consistent ability to manage businesses, drive revenue, and foster sustainable growth.



As an original founding member and partner at Tribeca Early-Stage Partners (TESP), Kent helped build the team and oversee the coordination of 65 members. The group evaluates ~1,000 start-ups annually and built a successful investing platform.

As a director at Pacific Advantage Capital, Kent focused on early-stage investment opportunities in health technology, medical devices, and renewable energy. Kent served as Board Chairman for an AI/ML Health Tech Software company, Pieces Technologies, and successfully led Series A and B funding rounds.

While at HSBC Bank USA, Kent served as Senior Managing Director and Head of U.S. Credit Trading, contributing significantly to the trading group's success while redesigning the trading and risk management platforms for global credit trading.

At Nomura Securities International, Kent was a Senior Portfolio Manager and Managing Director and headed proprietary trading for the equity options & volatility group.

Kent's expertise includes derivative trading, risk management, financial engineering, and strategic business development at Bankers Trust/Deutsche Bank.

Kent holds a B.S. in Industrial & Systems Engineering from the University of Wisconsin-Madison.

Industry experience

Tribeca Early-Stage Partners TESP Partner, Founding Member	2014-Present
Pacific Advantage Capital Partners Director, Private Investment Partnership	2013-2020
Pieces Technologies Board Chair & Operating Committee	2016-2019
Flashback Technologies Co-President, Adviser	2017-2018
HSBC Securities USA Senior Managing Director, Head of U.S. Credit Trading	2007-2012
Nomura Securities International Senior Portfolio Manager, Head Of Proprietary Volatility Trading	1999-2007
Deutsche Bank/Bankers Trust NY, London, Tokyo Co-Head European Equity Derivatives Deputy Head, US Fixed Income Derivatives Structuring, Financial engineering, Trader	1988-1999
Bear Stearns Companies, NY Analyst in Mortgage Conduit Division Analysis of mortgage pre-payment speed and Demographics.	1987-1988
ASEA Brown Boveri Sweden Industrial Engineer on subway-train manufacturing, assembly, and quality control.	1986

Education

University of Wisconsin, Madison B.S., Industrial & Systems Engineering	1986
---	-------------

Areas of expertise

Business Development

- + Leadership, Management, Business Strategy, Teamwork, Strategic Planning, Risk Assessment, Financial Analysis, Product Development.

Derivatives Trading & Risk Management

- + Fixed Income, FX, Credit, and Equity markets.
- + Structured Products, financial engineering, market making, proprietary trading.
- + Systems design, Risk management, investment management, and global business management

Private Equity / Venture Capital

- + Evaluation, selection, and portfolio investment.
- + Finance and financial analysis, budgeting.
- + Fundraising, diligence, licensing agreements, financial structuring.
- + Operational management, staffing,
- + Board chair, member, advisor.

Keywords:

Black-Scholes, CDS, CDS indices, complex securities, credit derivatives, delta, derivatives, due diligence, equities, equity derivatives, equity swaps, Equity-linked swaps (ELS), exotics, financial engineering, FINRA Series 24 Principal, fixed income, fixed income derivatives, foreign exchange, forwards, futures & options, FX, FX derivatives, FX forwards, FX options, gamma, Greeks, hedge funds, hedging, interest rate curves, interest rate swaps, interest rates, listed derivatives, market making, option exercise, option pricing, option settlement, options, over-the-counter (OTC) derivatives, portfolio management, post-mortems, proprietary trading, put-call parity, quantitative investments, quantitative research, relative value, risk management, structured products, structuring, swaps, total return swaps (TRS), trader, trading, trading strategies, USD rates options, VIX, volatility, warrants, yield curve, yield curve construction, advisory, board of directors, budgeting, early-stage funding, financial analysis, financial planning & analysis (FP&A), fund raising, investment, licensing agreements, operational development, operational management, personnel management, private investment partnership, return on investments (ROI), series A, strategic business development, valuation, venture capital investing.

[NOT RETAINED]