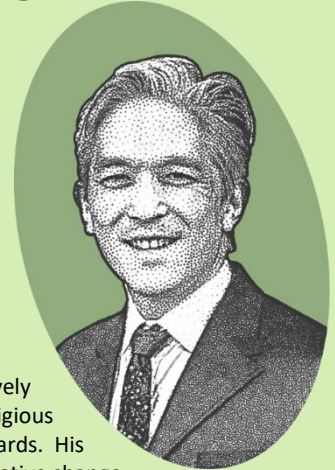




# Rodney Sunada-Wong



- :: BLOCKCHAIN, CRYPTOCURRENCIES
- :: RISK MANAGEMENT
- :: GOVERNANCE
- :: REGULATORY COMPLIANCE
- :: BROKER DEALER, SWAP DEALER
- :: ASSET LIABILITY MANAGEMENT

## Industry Experience

<b>Columbia Engineering</b> Adjunct Professor	<b>2016–Present</b>
<b>NYU Courant Institute Of Mathematical Sciences</b> Adjunct Professor	<b>2014–Present</b>
<b>Celsius</b> Chief Risk Officer	<b>2021–2023</b>
<b>Morgan Stanley</b> Chief Risk Officer, U.S. Broker Dealer, and U.S. and Mexico Swap Dealers	<b>2015–2020</b>
<b>Morgan Stanley</b> Head of Market Risk, Wealth Management, Deposit-taking Bank, Corporate Treasury  CRO, Trust Bank	<b>2008–2014</b>
<b>Merrill Lynch</b> Head of Market Risk, Deposit-taking Banks	<b>2000–2008</b>
<b>Deutsche Bank / Bankers Trust</b> Methodology Derivative Counterparty Credit (CVA)	<b>1999–2000</b>
<b>Bankers Trust Company</b> Market Risk Manager  Private equity / distressed debt investing	<b>1992–1999</b>
<b>Goldman Sachs HF Strategies</b> Trading Analyst	<b>1988–1990</b>
<b>National Security Agency</b> Mathematician Cryptanalyst	<b>1985–1988</b>

## Education

<b>Cornell</b> Master of Business Administration	<b>1990-1992</b>
<b>Harvard University</b> Bachelor of Art, Biology	<b>1981-1985</b>

Rodney Sunada-Wong is a highly experienced Risk Manager with a proven track record in Digital Assets, Banking, and Trading. His expertise centers on optimizing risk-taking, achieving profitability goals, and ensuring regulatory compliance. Notable achievements include transforming governance at a troubled digital asset startup, reducing peak regulatory risk capital requirements for a major Broker Dealer, and pioneering a new Asset-Liability framework and originating new products for wealth management enabling increase in Return on Assets by over 1%

In addition to his professional achievements, he is actively engaged in academia, teaching Finance at prestigious institutions and contributing to industry advisory boards. His ability to tackle complex challenges and drive transformative change is a testament to his commitment to enhancing profitability, managing risks, and meeting regulatory expectations within the financial sector. He brings a wealth of experience and a strong track record of success to any organization seeking strategic risk management leadership.

## Areas of expertise

### Risk Management Governance:

- + Enterprise-wide Risk Management, Risk Governance,
- + Market Risk, Credit Risk, Liquidity Risk, Operational Risk.

### Cryptocurrencies:

- + Risk Management of Margin Lending, DeFi, Perpetual Swaps, CeFi, Bitcoin Mining.

### Broker Dealer, Swap Dealer:

- + Broker Dealer and Security-Based Swap Dealer regulatory rules and risk capital,
- + Trading risk limits.

### Asset Liability Management:

- + Investment Portfolio Risk Management, Deposit-Pricing Modeling,
- + Interest Rate Risk of the Banking Book.

### Regulatory Compliance:

- + Dodd-Frank, Recovery Resolution Planning,
- + OCC, FDIC, SEC, FINRA, CFTC, NFA, UDFI regulation.

### Keywords:

Risk manager, digital assets, cryptocurrencies, Decentralized Finance (DeFi), automated market making (AMM), banking, trading, regulatory compliance, governance, fintech, Chief Risk Officer (CRO), market risk, credit risk, liquidity risk, operational risk, counterparty risk, financial management, risk mitigation, regulatory requirements, recovery resolution planning, asset liability management (ALM), deposit pricing, strategic acquisitions, mortgage origination, mortgage pipeline, broker dealer, swap dealer, central counterparty clearing, futures commission merchant, Office of the Comptroller of the Currency (OCC), crypto, risk management, trader, Industrial Loan Company, enterprise risk management (ERM), risk management of margin lending, perpetual swaps, centralized finance, bitcoin mining, regulatory rules, risk capital, trading risk limits.

[ NOT RETAINED ]